

STATE REGULATION OF PUBLIC UTILITIES REVIEW COMMITTEE

**CANDIDATES FOR THE
SOUTH CAROLINA PUBLIC SERVICE COMMISSION
EDITED PERSONAL DATA QUESTIONNAIRE**

NOTICE: The information requested herein is needed to assist the Committee in its investigation of candidates for the Public Service Commission. This questionnaire is the initial step in the investigation of your candidacy. A completed questionnaire should be returned to the Committee as soon as possible, but in any event no later than noon, 12:00 p.m., on Friday, February 28, 2020. (Use and attach separate sheets, if necessary.)

PLEASE SPECIFY THE SEAT FOR WHICH YOU ARE APPLYING (THE CONGRESSIONAL DISTRICT IN WHICH YOU RESIDE; YOU MAY ONLY APPLY FOR ONE SEAT): 3

1. Full Name: Mr. Willie J. Morgan

Home Address: 298 Morgan Ware Drive
Clarks Hill, SC 29821

1. **Original Response:**

Business Address: 104 South Congress Street
Winnsboro, SC 29180

Amended Response (7/10/2020):

Business Address: 810 Dutch Square Blvd., Suite 217
Columbia, SC 29210

6. List each institution of higher learning you attended, including dates of attendance and degrees awarded. Please provide an official transcript prior to your screening hearing. If you left any institution without receiving a degree, state the reason for leaving.

M.A. in Management, 1999-2000, Webster University

B.S. in Engineering, 1981-1985, University of South Carolina

7. List any continuing education courses or other professional education or training programs that you have attended in the past five years.

Ethics Training – SC Office of Regulatory Staff

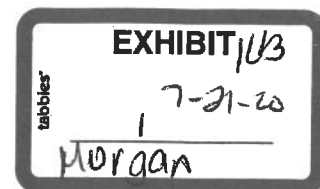
Reducing Water System Costs through Energy Efficiency

Understanding State Requirements for America's Water Infrastructure Act

State Revolving Fund Loan Workshop

South Carolina Electric Utility SME

Must-Have Financial Policies for Small Water Systems



ORS Water/Wastewater Workshop
Ask the Expert: Mapping for Water Loss and Asset Management

8. Have you been employed or held any position in any of the following areas?

Original Response:

- (a) energy issues
- (b) telecommunications issues
- (c) consumer protection and advocacy issues
- (d) water and wastewater issues
- (e) finance, economics, and statistics
- (f) accounting
- (g) engineering
- (h) law

Please provide the duration of your employment or service and details about the nature of the work or the position.

See attached resume.

Amended Response (7/10/2020):

- (a) energy issues
- (b) telecommunications issues
- (c) consumer protection and advocacy issues
- (d) water and wastewater issues
- (e) finance, economics, and statistics
- (f) accounting
- (g) engineering
- (h) law

Please provide the duration of your employment or service and details about the nature of the work or the position.

From 1985 until 2004, I was employed at the South Carolina Department of Health and Environmental Control. Initially, I served as an engineer associate with multiple wastewater and other projects. Later, my responsibilities included the coordination of multimedia environmental permitting activities within the office of Environmental Quality Control at the South Carolina Department of Health and Environmental Control. The duties included serving as contact and advocate for the regulated community and other interested parties on issues related to the permit process. I developed and maintained a close working relationship with the Governor's Office, South Carolina Department of Commerce, local economic development organizations, consultants representing various industries, private economic development partnerships, governmental organizations, and other state agencies.

In October 2004, I joined the Office of Regulatory Staff as the Program Manager for Water and Wastewater. In this capacity, I advised agency management on rates and regulatory matters related to the agency's jurisdictional water and wastewater utilities; represented the agency as a witness in proceedings before the Public Service Commission of South Carolina; represented as an agency engineer before other organizations

concerning the position of the ORS; reviewed draft pleadings, briefs, proposed orders, and other technical documents; and provided technical advice to other ORS staff members, including the audit accounting team.

During my tenure with the Office of Regulatory Staff, I worked on many cases and matters addressing regulated public utilities in South Carolina, including energy (electric and natural gas), and water and wastewater projects. Cases included, but were not limited to, rate cases, certification and licensing proceedings, and consumer issues, before the Public Service Commission of South Carolina. I assisted with appellate cases concerning matters in water and wastewater utilities.

At beginning of 2016, I had the privilege of managing the Consumer Services Department within the ORS along with the oversight of the water and wastewater department. This experience included the oversight of investigation of consumer complaints and inquiries of all Public Service Commission of South Carolina regulated industry sectors – water, wastewater, transportation, telecommunication, gas, and electric. Later in 2016, I became the Deputy Director for Utility Rates at ORS. In this capacity, I planned, directed, and coordinated the daily activities of the Utility Rates Team at ORS which also involved providing testimony before the Public Service Commission of South Carolina in rate cases. The duties included performing regulatory analysis related to electric, natural gas, water and wastewater utilities and ensured regulated electric, natural gas, water and wastewater utilities were operating in compliance with applicable rules and regulations under the jurisdiction of the Public Service Commission and the Office of Regulatory Staff. In addition, my duties included oversight of the ORS Return on Equity (ROE) and Capital Structure witness and testimony.

9. Have you served in the military? If so, give the dates of service, branch of service, highest rank, serial number, present status, and the character of your discharge or release. Please provide a copy of your DD-214 prior to your screening hearing.
No
10. Have you ever held public office? If so, provide details, including the office, whether elected or appointed, the length and dates of your service. Also, state whether or not you timely filed reports with the State Ethics Commission during the period you held public office. If not, were you ever subject to a penalty. If so, provide details, including dates.
No
11. Have you ever been an unsuccessful candidate for elective, judicial, or other public office? If so, provide details, including dates.
Yes. I applied to be appointed to the Richland County Planning Commission in the late 90s. Council's initial vote was a tie with the second vote scheduled for a subsequent meeting. I withdrew my application for consideration prior to the subsequent vote.
12. Please list in chronological order any employment of you by any governmental agency (whether full time or part time, contractual or at will, consulting or otherwise). Provide

dates of employment, name of employer, name of supervisor, and major job responsibilities.

Original Response:

South Carolina Department of Health and Environmental Control (1985 – 1993 David Wilson, 1993 – 2002 Lewis Shaw, 2002 – 2004 Bob King)

South Carolina Office of Regulatory Staff (2004 – 2007 Katie Morgan, 2007 – 2019 Dawn Hipp, 2019 – 2019 Ryder Thompson)

See attached resume.

Amended Response (7/10/2020):

South Carolina Department of Health and Environmental Control (1985 – 1993 David Wilson, 1993 – 2002 Lewis Shaw, 2002 – 2004 Bob King)

- Coordinated multimedia permitting activities within the office of Environmental Quality Control.
- Served as contact and advocate for regulated community and other interested parties on issues related to the permit process.
- Developed and maintained close working relationships with the Governor's Office, Department of Commerce, local economic development organizations, consultants representing various industries, private economic development partnerships, governmental organizations, and other state agencies.
- Served as liaison to various associations, such as the South Carolina Chamber of Commerce, the South Carolina Small Business Chamber of Commerce, and the South Carolina Municipal Association, on environmental policy, permitting process, and regulatory initiatives for the State.
- Responded to requests from the Governor's Office, legislature, State's Congressional Delegation, public and private groups, media, and the general public.
- Evaluated state proposed statutory changes to environmental laws and selected federal changes to environmental laws.
- Served as the State's advocate and resource for small businesses in the implementation of the 1990 Clean Air Act Amendments, responsible for the management of the State's Small Business Assistance Program, including B.S. and M.S. level scientists, in the Office of Environmental Quality Control (EQC).
- Prepared and implemented program budget and supervised the development of a promotional video informing businesses and the public about the regulatory process.
- Designed and obtained an EPA grant to study and assist the automotive industry in the State on regulatory compliance and assisted with numerous other federal grants.
- Coordinated and supervised several statewide training programs for managers of small businesses including a satellite broadcast appearance.
- Represented the agency at various meetings, seminars, and functions and served as spokesperson.
- Appointed and served on several agency and national committees including the customer service, cultural competency, permitting workgroup, program area employee recruitment and career development committee, and Region IV's representative on the Small Business Assistance Program (SBAP) National Steering Committee.

Formerly responsible for examining and evaluating design plans, specifications and engineering reports involving methods for the treatment, storage and disposal of hazardous wastes. Duties included:

- Conducting design conferences and site inspections to determine compliance with State and Federal regulations and requirements.
- Coordinated agency strategy, prepared expert witness testimony, and presented the agency's position at contested cases and to the agency Board.
- Served on a national regulatory review team that evaluated all hazardous waste land disposal restriction proposed law changes.
- Served as project engineer responsible for review of the Resource Conservation and Recovery Act (RCRA) Hazardous Waste permitting requirements for the Safety-Kleen landfill facility near Pinewood, S.C.
- Coordinated and hosted the agency's largest environmental public hearing concerning a permit decision and prepared testimony and presented the agency's position at legislative committee hearings.

South Carolina Office of Regulatory Staff (2004 – 2007 Katie Morgan, 2007 – 2019 Dawn Hipp, 2019 – 2019 Ryder Thompson)

- Planned, directed and coordinated the daily activities of the Utility Rates Team.
- Performed regulatory analysis related to electric, natural gas, water and wastewater utilities.
- Ensured regulated electric, natural gas, water and wastewater utilities are operating in compliance with applicable rules and regulations under the jurisdiction of the Public Service Commission and the Office of Regulatory Staff.
- Assisted with the investigation of consumer complaints and inquiries.
- Assisted in and responded to inquiries, surveys, and questionnaires from the general public, utilities, and other State agencies pertaining to all aspects of the investor-owned electric, natural gas, water and wastewater industry in South Carolina.
- Assisted in the preparation of presentations regarding Regulation and utilities in South Carolina.
- Performed analyses, reviewed, and provided testimony in formal proceedings before the Public Service Commission regarding rate base determinations, rate schedules, general terms and conditions, cost of service and depreciation studies, and compliance with applicable rules and regulations.
- Monitored federal activity to determine its impact on state regulations and policies.

University of South Carolina College of Engineering and Computing (*Volunteer*) (1990 to present Jed Lyons)

- Direct and manage program activities for two week-long annual workshops for high school students.
- Develop lectures and organize instructional materials for high school students interested in engineering and computer science.

Midlands Technical College/Beltline Campus (1989 to 1991)

- Taught and lectured for introductory college math courses (algebra, trigonometry, calculus, etc.)
13. Please list in chronological order any occupation, business, or profession in which you have been engaged or employed (other than serving in a public office or being employed by a governmental agency). Please briefly note the nature of your work during each period.

Original Response:

Southeast Rural Community Assistance Project, Inc. (2019 – present)
See attached resume.

Amended Response (7/10/2020):

Southeast Rural Community Assistance Project, Inc. (2019 – present)

- Plan, direct, and supervise Southeast RCAP staff in South Carolina.
- Perform regulatory and rates analysis related to water, and wastewater utilities.
- Oversees and provides direct training and technical assistance to small rural low-income communities, local governments, Community Action Agencies, Community Based Organizations, and the private sector in the state of South Carolina to address their water and wastewater system needs.
- Assists the Regional Program Director in grant/program management including reporting, tracking, and evaluation of delivery of services by any sub grantees and Southeast RCAP staff in South Carolina. Assists with evaluation of all projects and workshops to determine grant and sub grantee contract compliance.
- Serves as liaison between Lead Agencies and Community Action Agencies, local and state governments, low income residents and communities to enhance their service delivery functions including on-site visits to provide technical assistance in water, wastewater and ground water protection.

Bostick-Tompkins Funeral Home (1988)

- Invested owner

14. Are you now an officer or director or involved in the management of any business enterprise? Explain.
No.
15. Describe any financial arrangements or business relationships that you currently have or have had in the past that could pose a conflict of interest in the position you seek. Explain how you would resolve any potential conflict of interest.
N/A
20. Have you ever been a named party in a lawsuit, either personally or professionally? If so, provide details.

Yes.

In 1988, I filed a magistrate court case in Richland County against Erma Ford for repayment of charges relating to a rental vehicle. I received a judgement in my favor.

In 1991, Judge Casey Manning (before he became a judge) filed a case on my behalf in Richland County. The case was settled.

22. Are you now or have you ever been employed as a “lobbyist,” as defined by S.C. Code Section 2-17-10(13), or have you acted in the capacity of a “lobbyist’s principal,” as defined by S.C. Code Section 2-17-10(14)? If so, please provide the dates of your employment or activity in such capacity and specify by whom you were directed or employed.

No

23. Since your decision to seek a position on the Public Service Commission, have you accepted lodging, transportation, entertainment, food, meals, beverages, money, or any other thing of value, as defined by S.C. Code Section 2-17-10(1), from a lobbyist or lobbyist’s principal? If so, please specify the item(s) received, date of receipt, and the lobbyist or lobbyist’s principal providing the item(s).

No

26. Other than expenditures for travel or room and board, please itemize all expenditures (by amount, type, and date) by you, or on your behalf, in seeking the office of Public Service Commissioner.

Original Response:

Minimal amount for application preparation (i.e., copying, printing, reference request cost via electronic devices, etc.) over the course of three weeks during the month of February 2020.

Amended Response (7/10/2020):

Application preparation over the course of three weeks during the month of February 2020

	<u>Estimated Cost</u>
Printing	\$2.00
Copying	\$2.00
Requesting references	\$1.00
Retrieving reference documents (transportation)	\$5.00

Lawsuits Description

1. Case Number 91CP4003354 (Willie Joe Morgan vs Willie A. Tompkins)
In 1988, Mr. Willie Tompkins approached me to invest in the start-up of Bostick-Tompkins Funeral Home. I agreed and became a minority owner with Mr. Tompkins and his other partner. Later, I desired to divest myself of my interest in the funeral home business. I selected attorney Casey Manning at the time to assist me with my desires in the matter. Attorney Manning filed the complaint in the case on my behalf. We settled the matter which therefore resolved the complaint.
2. Case Number 2001CP3500082 (Conseco Finance Servicing vs Willie Morgan, defendant, et al)
This is not a case involving me nor have I been provided with any type of service.
3. Case Number 2002CP3500026 (Conseco Finance Servicing vs Willie Morgan, defendant, et al)
This is not a case involving me nor have I been provided with any type of service.
4. Case Number 2002CP3500142 (Conseco Finance Servicing vs Willie Morgan, defendant, et al)
This is not a case involving me nor have I been provided with any type of service.
5. Case Number 2009CP4003856 (SC Department of Mental Health vs Willie Morgan)
This is not a case involving me nor have I been provided with any type of service.
27. Please list the amount and recipient of all contributions made to members of the General Assembly within six months of filing this questionnaire.
N/A
28. Have you directly or indirectly requested a pledge of any member of the General Assembly as to your election for the position for which you are being screened? Have you received the assurance of any public official or public employee that they will seek the pledge of any member of the General Assembly as to your election for the position for which you are being screened?
No.
29. Have you or has anyone on your behalf solicited or collected funds to aid in the promotion of your candidacy for the position of Public Service Commissioner? If so, please specify the amount, solicitor (if applicable), donor, and date of solicitation and/or receipt.
No.
30. List all professional organizations of which you are a member and give the titles and dates of any offices that you have held in such groups.
National Society of Professional Engineers
South Carolina Society of Professional Engineers (Columbia Chapter President 2016/2017 and Vice President during the prior year)
American Water Works Association

31. List all civic, charitable, religious, educational, social, and fraternal organizations of which you are or have been a member during the past five (5) years.

Partners for Minorities in Engineering and Computer Science (USC College of Engineering & Computing under USC Educational Foundation)
Brookland Baptist Church
Brookland Foundation volunteer tutor

32. State any other information which may reflect positively or negatively on you, or which you believe should be disclosed in connection with consideration of you for a position on the Public Service Commission.

N/A

33. List the names, addresses, and telephone numbers of five (5) persons, including your banker, from whom references could be required. Also, provide this Committee with original letters of recommendation from each person listed herein, including their signature. A photocopy, facsimile, or electronic submission of a letter of recommendation will not be accepted.

1) Rev. Charles B. Jackson, Sr.

2) Robert G. Gross, PE

3) Banker Letter

4) Jed S. Lyons, PhD, PE

5) Benjamin T. Martin

YOUR SIGNATURE WILL BE HELD TO CONSTITUTE A WAIVER OF THE CONFIDENTIALITY OF ANY PROCEEDING BEFORE A GRIEVANCE COMMITTEE OR ANY RECORD INFORMATION CONCERNING YOUR CREDIT.

I HEREBY CERTIFY THAT THE ANSWERS TO THE ABOVE QUESTIONS ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

Signature:

Date:

PLEASE NOTE: S.C. CODE SECTIONS REFERRED TO IN THIS DOCUMENT ARE CONTAINED IN THE PDQ CODE REFERENCES DOCUMENT.

I ACKNOWLEDGE, AS A CANDIDATE, THAT I AM RESPONSIBLE FOR REVIEWING THE FOLLOWING DOCUMENTS. THESE DOCUMENTS ARE PROVIDED ON THE USB FLASH DRIVE WITH THE PUBLIC SERVICE COMMISSION APPLICATION MATERIALS AND ARE ACCESSIBLE ON THE PUBLIC UTILITY REVIEW COMMITTEE'S WEBSITE:

- (1) An Introduction to the Public Service Commission;**
- (2) The press release issued by the Committee announcing the start of its application process;**
- (3) An Advisory Opinion relating to attendance by candidates for the Public Service Commission at legislative receptions;**
- (4) An Advisory Opinion relating to attendance by candidates for the Public Service Commission at legislative caucus meetings;**
- (5) The Judicial Code of Conduct;**
- (6) Statutory definitions and statute concerning pledges; and**
- (7) Information regarding accessing your credit report.**

Signature:

Date: